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# Graph-based active Semi-Supervised Learning: a new perspective for relieving multi-class annotation labor

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# outline

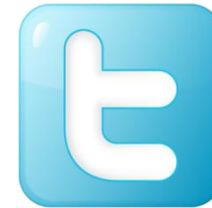
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- **Introduction**
  - Learning-based automatic Annotation
  - Semi-Supervised Learning
  - Active learning
- Graph-based active semi-supervised learning framework
  - Graph-based semi-supervised learning
  - Active learning: Minimize expected global uncertainty
  - Real scene efficiency
    - Inductive extensity
    - Incrementally update
    - Sample candidate points
- Experiment
- conclusion

# Introduction(1)

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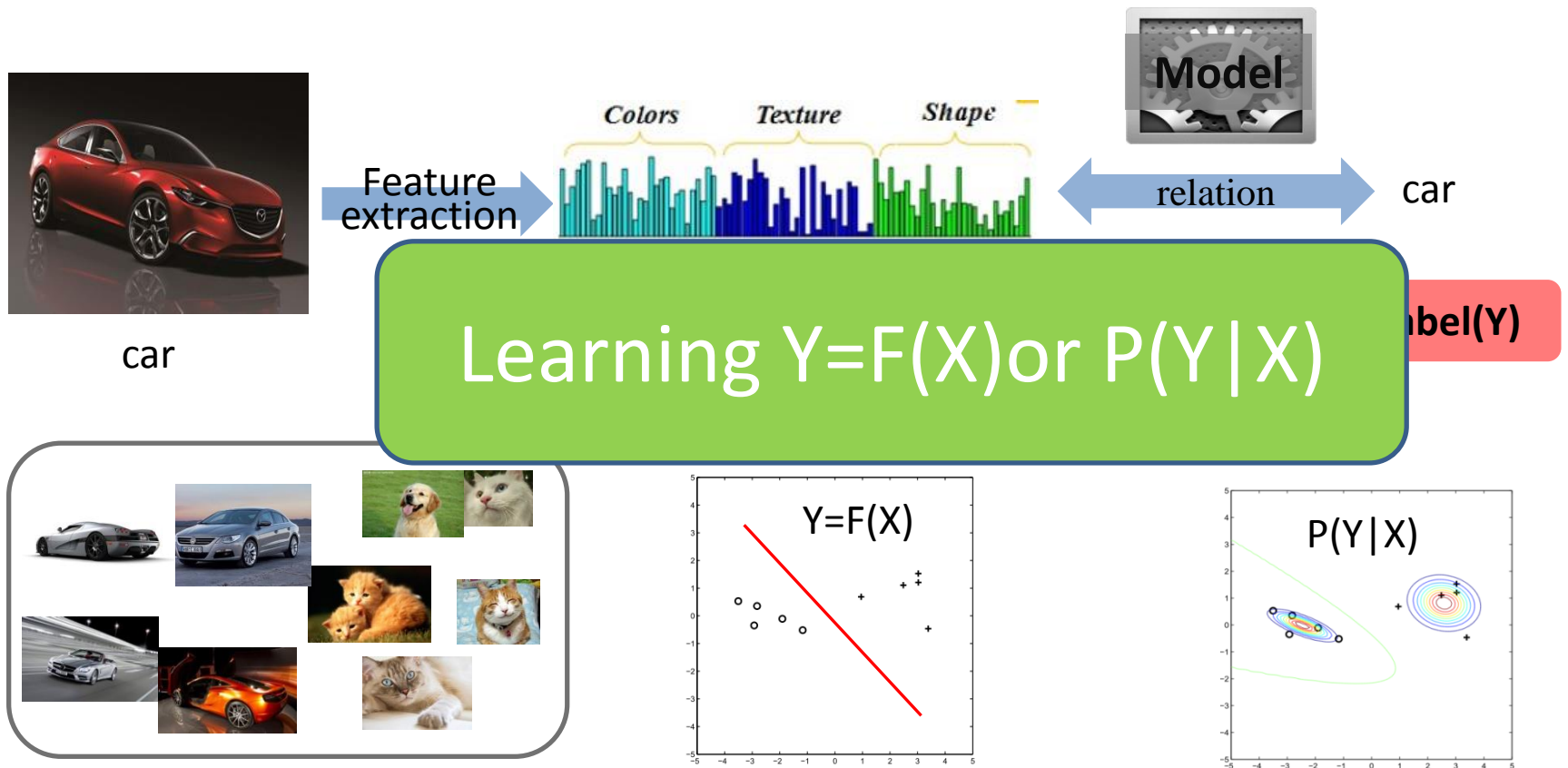
- Explosion of the unstructured data (image, text, video ...)



- Labeling or tagging data
  - Manual annotation by human
  - Automatic annotation

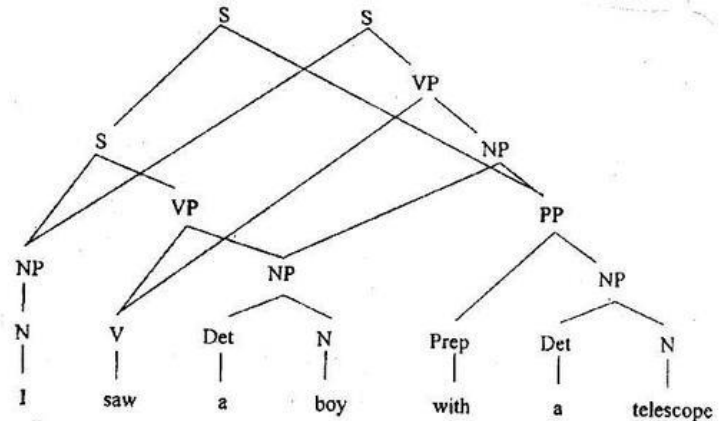
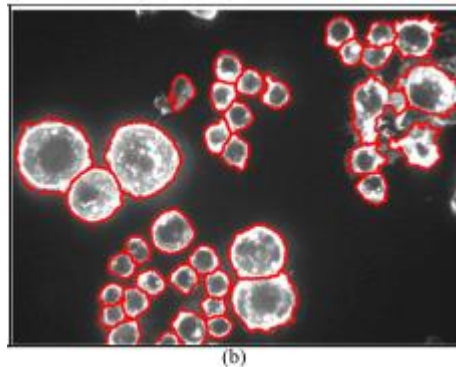
# Introduction(2)

- Learning-based automatic annotation



# Introduction(3)

- Accurate model
  - The amounts of the training data (labeled data)
- Labeled data is expensive and hard to obtain
  - Microscopic image
  - Text parsing
  - ...



- How to build more accurate model with as few as labeled data?

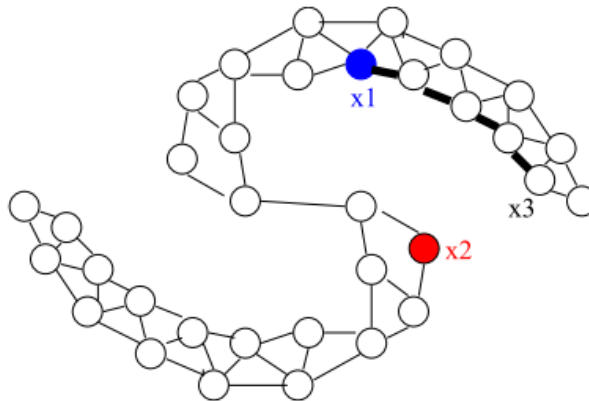
# Introduction(4)

- Semi-supervised Learning (SSL)

- Make use of unlabeled data to boost the performance of supervised learning.

- Graph-based Semi-supervised Learning (GB-SSL)

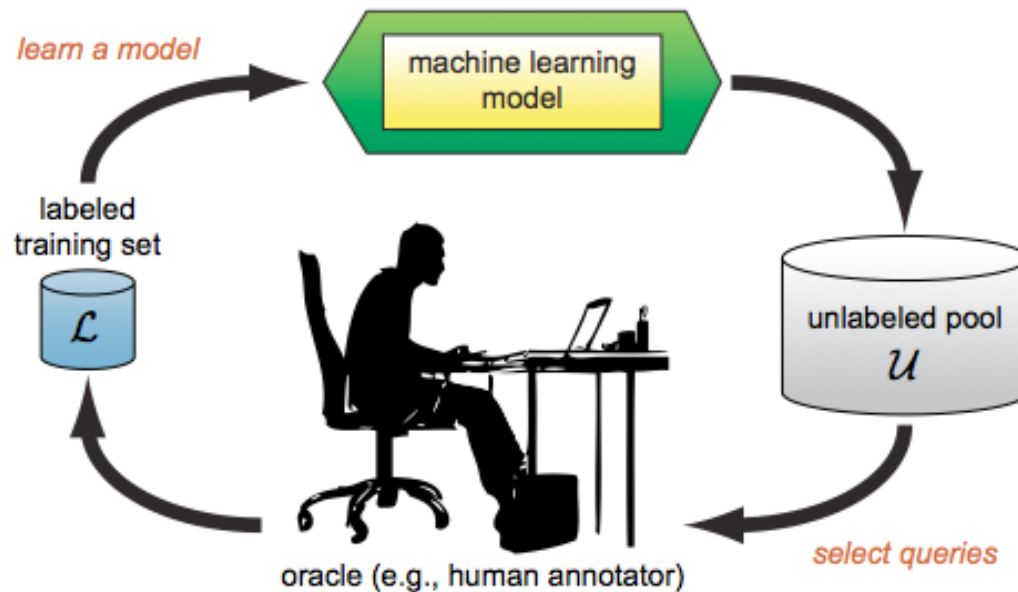
- Use graph to approximate the “manifold structure”  $P(X)$ , which is used to boost the conditional distribution  $P(Y|X)$



# Introduction(5)

- Active Learning

- Learn a model in an interactive way, which is able to select the most representative data based on the model learned in each iteration.



[Settles 2010]

# outline

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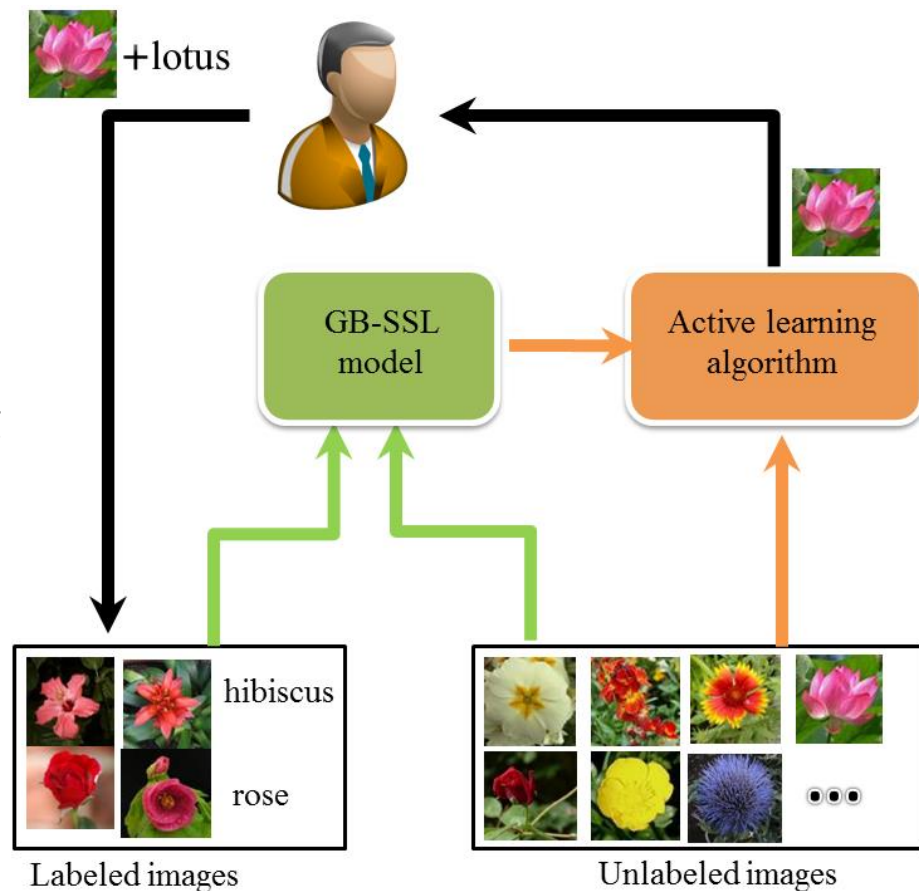
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# Graph-based active semi-supervised learning framework

## • Workflow

- Initialize the annotation model by using GB-SSL
- Using the active learning algorithm to select the most informative examples to query the user for label
- Update the model by incorporating the selected examples into training set



# Graph based Semi-supervised Learning(1)

## • Local and Global Consistency (LGC) , Zhou, NIPS 2003

### ■ Notation

- A point set  $\mathcal{X} = (\mathcal{X}_L, \mathcal{X}_U) = \{\mathbf{x}_1, \dots, \mathbf{x}_l, \mathbf{x}_{l+1}, \dots, \mathbf{x}_n\}$ :
- Points  $\mathcal{X}_L = \{\mathbf{x}_1, \dots, \mathbf{x}_l\}$  are labeled  $y_i \in \mathcal{L} = \{1, \dots, c\}$
- Predict the label of unlabeled points  $\mathcal{X}_U = \{\mathbf{x}_{l+1}, \dots, \mathbf{x}_n\}$

### ■ Method

1. Form the affinity matrix  $\mathbf{W}$  with its entries  $w_{ij} = \exp(-\|\mathbf{x}_i - \mathbf{x}_j\|/2\sigma^2)$  if  $i \neq j$  and  $w_{ii} = 0$ .
2. Construct the normalized Laplacian Matrix  $\mathbf{S} = \mathbf{D}^{-\frac{1}{2}}\mathbf{W}\mathbf{D}^{-\frac{1}{2}}$ , in which  $\mathbf{D}$  is a diagonal matrix with its  $(i,i)$ -element equal to the sum of the  $i$ -th row of  $\mathbf{W}$ .
3. Iterate  $\mathbf{F}(t + 1) = \alpha\mathbf{S}\mathbf{F}(t) + (1 - \alpha)\mathbf{Y}$  until convergence, where  $\alpha$  is a parameter in  $(0,1)$ . Let  $\mathbf{F}^*$  denote the limit of sequence  $\mathbf{F}(t)$ , which has a closed solution form:

$$\mathbf{F}^* = \lim_{t \rightarrow \infty} \mathbf{F}(t) = (1 - \alpha)(\mathbf{I} - \alpha\mathbf{S})^{-1}\mathbf{Y}$$

4. We can assign each point  $\mathbf{x}_i \in \mathcal{X}_U$  with the label  $y_i = \arg \max_{j \leq c} \mathbf{F}_{ij}^*$

# Active Learning: Minimize Expected Global Uncertainty (1)

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- **The intuition:** For a certain unlabeled example, if we incorporate it along with its assumed label (It can be empirically evaluated by the current model predictor) and re-train the model, which can make the new predictor has minimal uncertainty for the other unlabeled examples.
- How to measure the uncertainty of unlabeled examples
  - Entropy ( $Y_i$ )
  - Using  $\mathbf{F}$  to approximate  $P(Y_U|\mathbf{X})$
  - The global uncertainty can be calculated as:

$$H(\mathbf{F}) = \sum_{i=1}^n H(Y_i) = - \sum_{i=1}^n \sum_{j=1}^c \mathbf{F}_{ij} \log_2 \mathbf{F}_{ij}$$

## Active Learning: Minimize Expected Global Uncertainty (2)

- If we select an unlabeled example  $\mathbf{x}_k$  to query the oracle and we receive the assumed label  $y_k$ , adding  $(\mathbf{x}_k, y_k)$  to the training set and retraining, we will get the new predictor  $\mathbf{F}^{+(\mathbf{x}_k, y_k)}$

$$H(\mathbf{F}^{+(\mathbf{x}_k, y_k)}) = - \sum_{i=1}^n \sum_{j=1}^c \mathbf{F}_{ij}^{+(\mathbf{x}_k, y_k)} \log_2 \mathbf{F}_{ij}^{+(\mathbf{x}_k, y_k)} \quad (2)$$

- In fact, we don't know the true label  $y_k$  before we query the oracle. So we empirically assume the label  $y_k=j$  is given with the probability  $\mathbf{F}_{kj}$ . Hence the expected global uncertainty is:

$$H(\mathbf{F}^{+\mathbf{x}_k}) = \sum_{j=1}^c \mathbf{F}_{kj} H(\mathbf{F}^{+(\mathbf{x}_k, j)}) \quad (3)$$

- We greedily select the example  $\mathbf{x}_k$  that minimizes the expected global uncertainty to query the oracle, which can be calculated as:

$$\mathbf{x}_k = \arg \min_{\mathbf{x}_{k'} \in \Omega_U} H(\mathbf{F}^{+\mathbf{x}_{k'}}) \quad (4)$$

# Active Learning: Minimize Expected Global Uncertainty (3)

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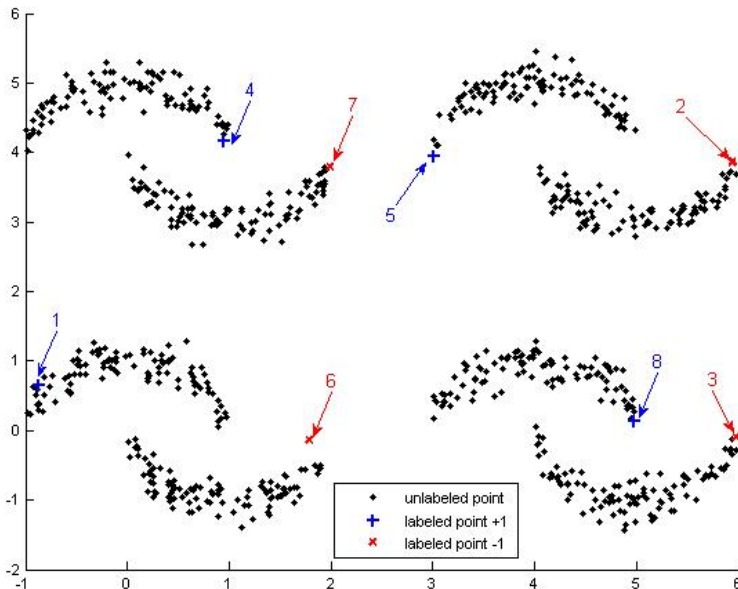
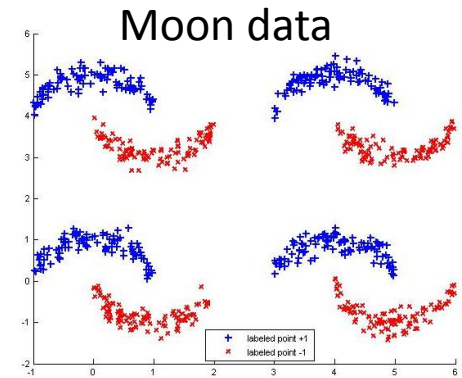
**Algorithm 1** Minimize Expected Global Uncertainty

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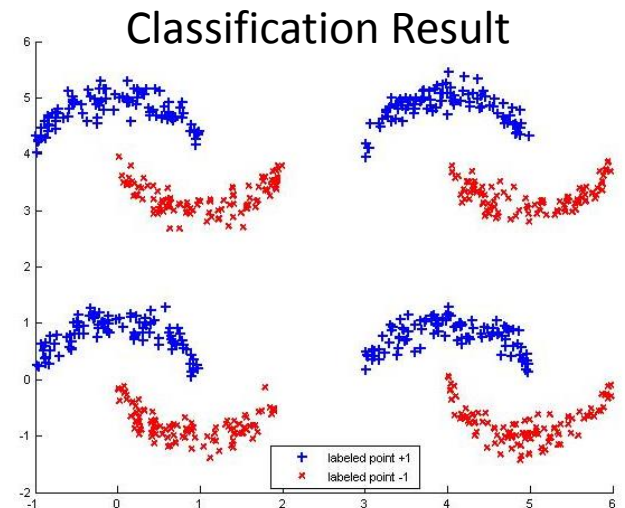
- 1: **Input:**  $\Omega_L, \Omega_U$ , normalized Laplacian Matrix  $\mathbf{S}$ ;
  - 2: Initialize  $\mathbf{F}$  using formula (1);
  - 3: **for** each round  $k$  **do**
  - 4:     **for** each example  $\mathbf{x}_{k'} \in \Omega_U$  **do**
  - 5:         **for** each possible label  $j \in \{1, 2, \dots, c\}$  **do**
  - 6:             Compute  $\mathbf{F}^{+(\mathbf{x}_{k'}, j)}$  with  $\Omega_L \cup \{(\mathbf{x}_{k'}, j)\}$
  - 7:             Compute  $H(\mathbf{F}^{+(\mathbf{x}_{k'}, j)})$  using formula (2)
  - 8:         **end for**
  - 9:         Compute  $H(\mathbf{F}^{+\mathbf{x}_{k'}})$  using formula (3)
  - 10:     **end for**
  - 11:     Find  $\mathbf{x}_k$  based on (4)
  - 12:     Query  $\mathbf{x}_k$  for label  $y_k$
  - 13:     Add  $(\mathbf{x}_k, y_k)$  to  $\Omega_L$ , remove  $\mathbf{x}_k$  from  $\Omega_U$
  - 14:     Update  $\mathbf{F}$  with the new  $\Omega_L$
  - 15: **end for**
  - 16: **Output:**  $\Omega_L$  and  $\mathbf{F}$  .
-

# Active Learning: Minimize Expected Global Uncertainty (4)

- How does the proposed active Learning method works?
  - tend to select the examples which is more representative.



Ideally classification  
with 8 labeled data

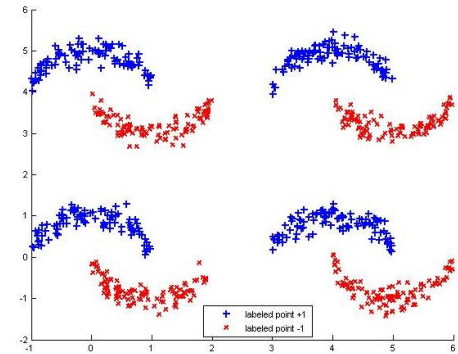


# Active Learning: Minimize Expected Global Uncertainty (5)

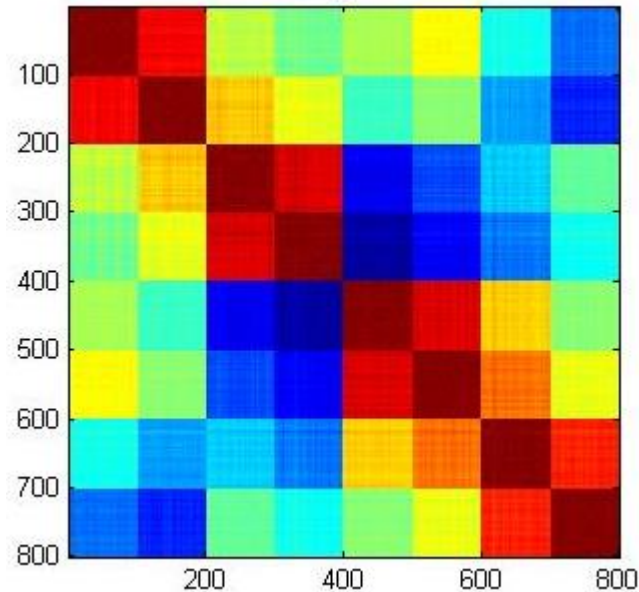
- Why does the proposed active Learning method work?

➤  $\mathbf{F} = \mathbf{W}_{LGC} \mathbf{Y}$ ,  
where  $\mathbf{W}_{LGC} = (\mathbf{I} - \alpha \mathbf{S})^{-1}$

Moon data



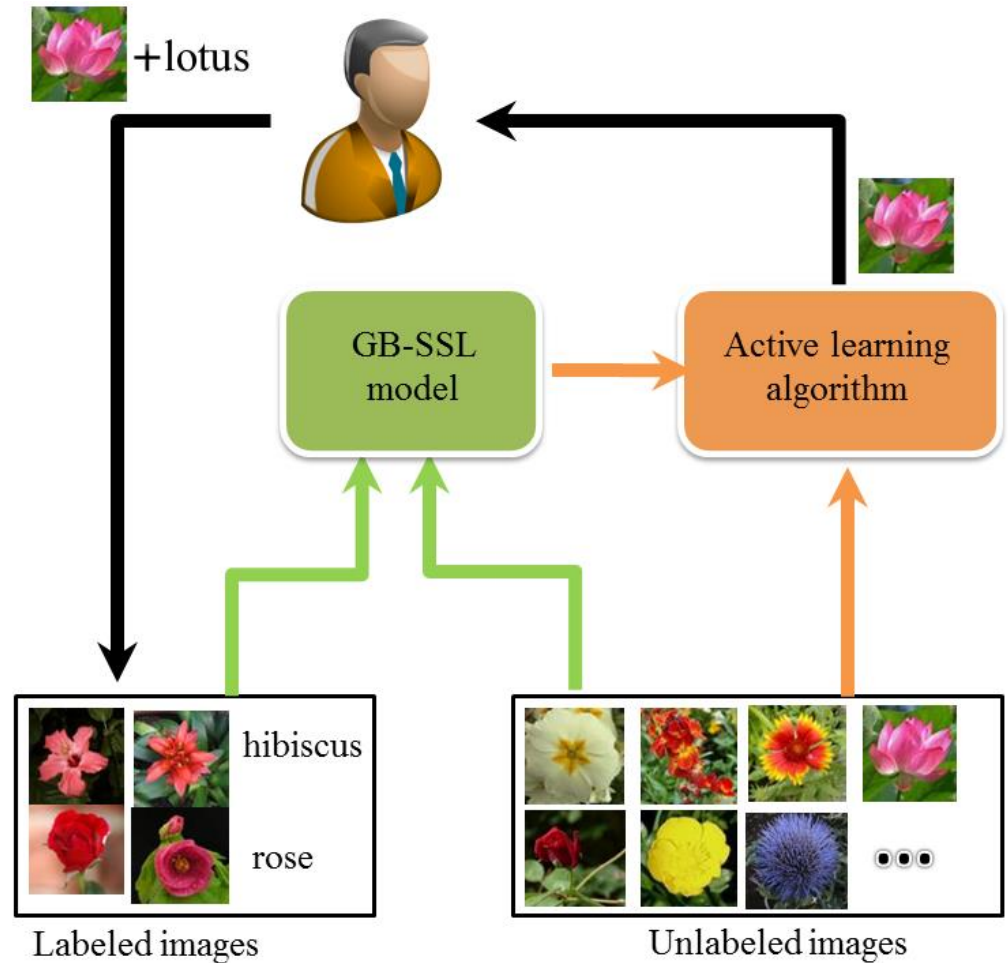
Log ( $\mathbf{W}_{LGC}$ )



# Efficiency: For Real-world Scenario

- For Real-world Scenario

- Incrementally update
- Sample Candidate points





## Efficiency: For Real-world Scenario(2)

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- Incrementally update

- Problem: use formula (1) to update  $\mathbf{F}$  or  $\mathbf{F}^+$ :  $O(n^3)$

- Method: decomposed formulation

- ◆ New example  $\mathbf{x}_k$  with label  $y_k = j$

- ✓  $\mathbf{F}^+ = \mathbf{F} + \mathbf{T}\mathbf{e}_k \cdot \mathbf{e}_j^T$ , where  $\mathbf{T} = (1 - \alpha)(\mathbf{I} - \alpha\mathbf{S})^{-1}$   
 $\Delta\mathbf{F}_{.j} = \mathbf{T}_{.k}$

- The time complexity is  $O(n)$

## Efficiency: For Real-world Scenario(3)

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- Sample Candidate points
  - In each Iteration, the complexity of the algorithm :  $O(c^2 \cdot n^2)$
  - Sample the candidate point set with size  $m$  ( $m \ll n$ ).
  - The reduced complexity:  $O(c^2 \cdot m \cdot n)$ , linear to  $n$

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# Experiments(1): setup

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- Baselines
  - Random example selection (Random)
  - Maximize Entropy-Based (MEB)
  - Best-versus-Second-Best (BvSB, Joshi, CVPR 2009)
  - Minimize the Risk (Risk, Zhu, ICML 2004)
- Evaluation criteria
  - Accuracy
- Datasets
  - USPS : 4000, 10 classes, 256D Pixel
  - Flower-102: 1963, 12 classes, 1500D Bow
  - MNIST: 70000, 10 classes, 784D Pixel

# Experiments(2): accuracy comparison

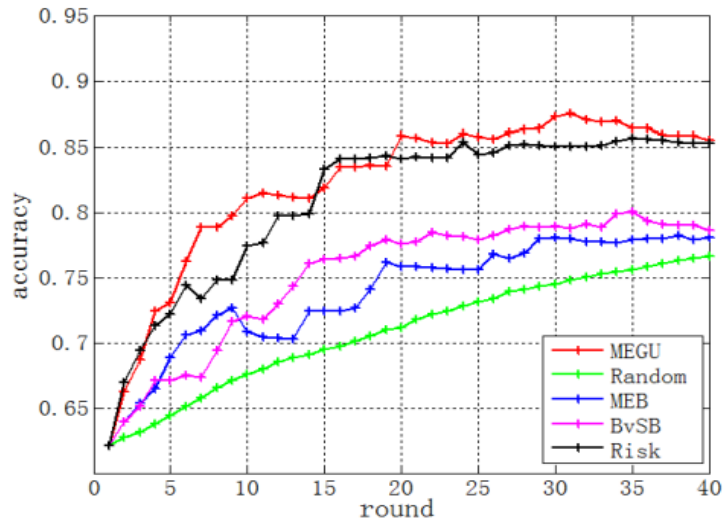


Fig. 1 Accuracy on USPS

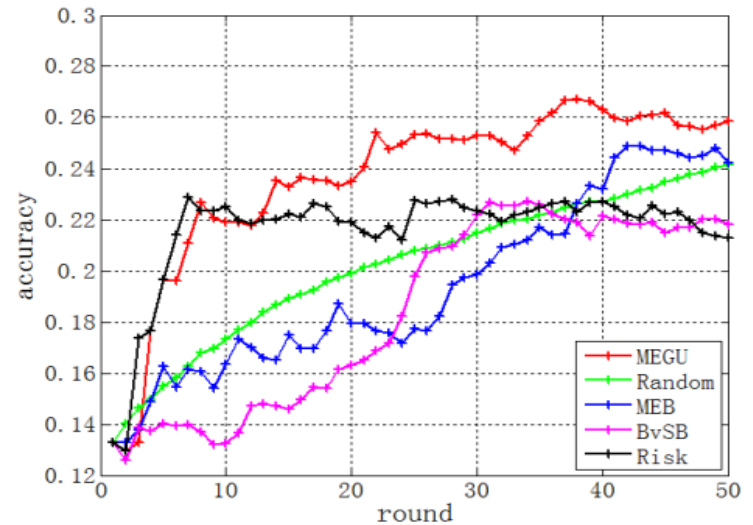


Fig. 2 Accuracy on Flower-102

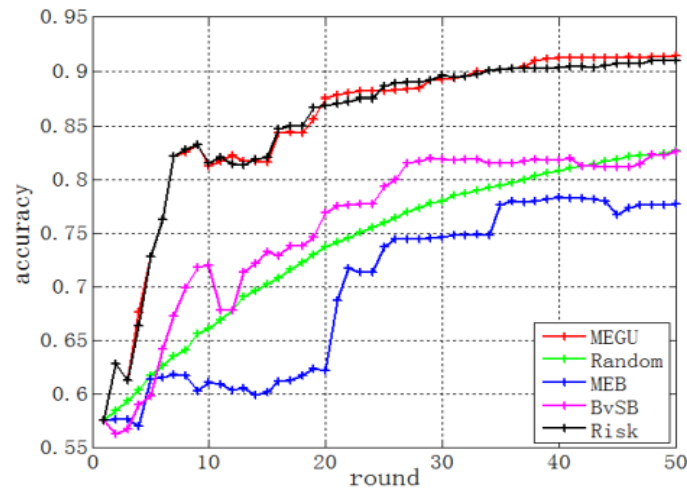


Fig. 3 Accuracy on MNIST

# Experiments(3)

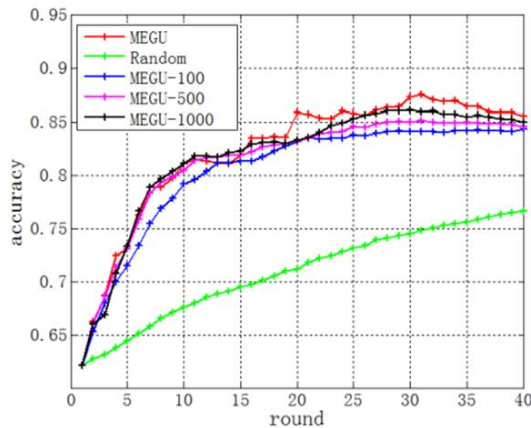
- Reduction in annotation

Tab. 1 Quantitative comparison

accuracy	dataset	#MEGU	#Random
80%	USPS	19	75
85%	USPS	40	154
80%	MNIST	16	46
85%	MNIST	25	75
90%	MNIST	42	178

- Sample candidate points

Fig. 4 Accuracy (USPS)



Tab. 2 time cost (USPS)

method	time cost (s)
MEGU-100	2.5
MEGU-500	12.8
MEGU-1000	25.7
MEGU	103
Random	0.024

# Conclusion

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- Propose a novel graph-based active semi-supervised learning framework which can learn a multi-class model efficiently with minimal human labor
- Propose Minimize Expected Global Uncertainty (MEGU) algorithm to actively select example, which naturally combine the probabilistic outputs of GB-SSL methods
- propose an incremental model updating method, which has the time complexity of  $O(n)$ , compared to the original re-training of  $O(n^3)$ .



Thank you !

